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PTO/SB/05 (03-01)

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	10624-111-999	Total Pages	39
First Named Inventor or Application Identifier			
Friedman et al.			
Express Mail Label No.	EV 335 857 375 US		

**APPLICATION ELEMENTS**

See MPEP chapters 600 concerning utility patent application contents.

Commissioner for Patents  
P.O. Box 1450  
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Alexandria, VA 22313-1450

1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)		
2. <input type="checkbox"/> Applicant claims Small Entity status, see 37 C.F.R. § 1.27	8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>		
3. <input checked="" type="checkbox"/> Specification <i>(preferred arrangement set forth below, MPEP 1503.01)</i>	a. <input type="checkbox"/> Computer Readable Form (CRF)		
- Descriptive title of the Invention	b. <input type="checkbox"/> Specification Sequence Listing on:		
- Cross Reference to Related Applications	i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or		
- Statement Regarding Fed sponsored R&D	ii. <input type="checkbox"/> paper		
- Reference to Microfiche Appendix	c. <input type="checkbox"/> Statement verifying identity of above copies		
- Background of the Invention			
- Brief Summary of the Invention			
- Brief Description of the Drawings (if filed)			
- Detailed Description of the Invention (including drawings, if filed)			
- Claim(s)			
- Abstract of the Disclosure			
4. <input type="checkbox"/> Drawing(s) (35 USC 113)	[Total Sheets]	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i>	<input type="checkbox"/> Power of Attorney
5. <input checked="" type="checkbox"/> Oath or Declaration	[Total Sheets]	11. <input type="checkbox"/> English Translation Document (if applicable)	
a. <input checked="" type="checkbox"/> Unexecuted	12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations	
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i>	13. <input type="checkbox"/> Preliminary Amendment		
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, sec 37 CFR 1.63(d)(2) and 1.33(b).	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>		
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>		
	16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(i). Applicant must attach form PTO/SB/35 or its equivalent		
	17. <input checked="" type="checkbox"/> Other: This application claims the benefit of U.S. provisional application no. 60/418,469, filed October 15, 2002, the contents of which are incorporated by reference herein in their entirety.		

17510 U.S.PTO  
10/685722



10/14/03

**ACCOMPANYING APPLICATION PARTS**

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)    | of prior application No.: filed

Prior application information: Examiner: Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

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ATTORNEY DOCKET NO. **10624-111-999**

Date: October 14, 2003

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Alexandria, VA 22313-1450

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): **Friedman et al.** Executed on: **Unexecuted**  
Title of Invention: **BENZOPYRANONE COMPOUNDS, COMPOSITIONS THEREOF, AND METHODS FOR TREATING OR PREVENTING CANCER**

**PATENT APPLICATION FEE VALUE**

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	Fee
Total Claims	39	- 20	19	\$18.00 each	\$ 342.00
Independent	3	- 3	0	\$86.00 each	\$ 0.00
			Minimum Fee		\$ 770.00
			Multiple Dependency Fee		\$ 0.00
			If Applicable (\$290.00)		
				Total	\$ 1112.00
			Applicant qualifies for the 50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern		\$ 0.00
				Total Filing Fee	\$ 1112.00

[ ] **DO NOT PUBLISH.** I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

- [x] Priority of application no. 60/418,469, filed on October 15, 2002, is claimed under 35 U.S.C. § 119(e).  
[ ] The certified copy of the priority application has been filed in application no. filed on .

Please charge the required fee to Pennie & Edmonds LLP Deposit Account 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,  
*Anthony M. Insogna, Atty. No. 35,203*  
By: *Anthony M. Insogna, Atty. No. 35,203*  
*Matthew E. Langer, Atty. No. 36,343*  
\_\_\_\_\_  
Anthony M. Insogna Reg No.  
PENNIE & EDMONDS LLP

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.